



DFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Title:

D'Evelyn et al.
GROUP III-NITRIDE BASED RESONANT CAVITY LIGHT
EMITTING DEVICES FABRICATED ON SINGLE CRYSTAL
GALLIUM-NITRIDE SUBSTRATES

Serial No.:

10/693803

Art Unit:

2811

Filing Date:

October 24, 2003

Examiner:

Tran

Last Office Action:

N/A

Attorney Docket No.:

132852-1/GCRZ 200025

Date:

May 18, 2005

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document, and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☐ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

- ☐ a. The required Certification made in item 5 below; or

- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

By

Mary Ann Temesvari
Mary Ann Temesvari

Date:

May 18, 2005

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☒ 5. Certification

☒ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☐ 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 06-0308.

Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

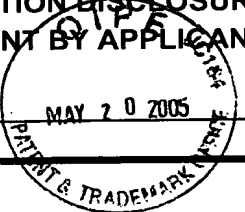


Attorney/Agent
Robert M. Sieg, Reg. No. 54,446

Address: 1100 Superior Avenue
Seventh Floor
Cleveland, OH 44114-2579
(216) 861-5582

N:\GCRZ\200025\MAT0002210V001.doc

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S) Sheet 1 of 1	Complete if Known	
	Application Number	10/693,803
	Filing Date	October 24, 2003
	First Named Inventor	Mark Philip D'Evelyn
	Art Unit	2811
	Examiner Name	Tran
	Attorney Docket No.	132852-1 (GCRZ 200025)



U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-2002/189531	12/2002	Tomasz et al.	
	AB	US-2003/047744	03/2003	Tomoya	
	AC	US-			
	AD	US-5,838,707	11/1998	Ramdani et al.	
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			

FOREIGN PATENT DOCUMENTS						
Examine r Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code-Number Kind Code (if known)				
	AM	DE 10208170 A1	9/2003	Osram OPTO Semiconductors GmbH		<input type="checkbox"/>
	AN					<input type="checkbox"/>
	AO					<input type="checkbox"/>
	AP					<input type="checkbox"/>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	
	AQ		
	AR		
	AS		
	AT		
	AU		
	AV		

Examiner Signature	Date Considered
--------------------	-----------------